



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Philip S. Siegel  
U.S. Patent Serial No.: 09/817,353  
Filing Date: March 26, 2001  
Examiner: Joseph A. Fischetti  
Group No.: 3627  
Confirmation No.: 4525  
Title: ***System and Method for Single-Action Returns  
of Remotely Purchased Merchandise***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on <u>9-02-2004</u> <u>Elaine Burns</u> Name  <u>9-02-2004</u> Date of Signature
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**REQUEST FOR CONSIDERATION OF AN INFORMATION  
DISCLOSURE STATEMENT TIMELY FILED**

For reasons set forth below, it is respectfully requested that the PTO file for this application be promptly forwarded to Examiner Joseph A. Fischetti, for confirmation that the references listed on the PTO-1449 forms attached to the Information Disclosure Statements filed on the following dates have been considered: November 12, 2003 and June 25, 2004.


On the dates listed in the first paragraph on page 1 of this document, Applicant filed Information Disclosure Statements (IDS) with PTO-1449 forms attached, listing the references submitted for consideration. Copies of the cited references were also included. The Information Disclosure Statements were received at the U.S. Patent and Trademark

Office on the dates listed in the first paragraph on page 1 of this document as shown on the returned, date-stamped postcards, copies of which are attached. For the convenience of the Examiner, copies of the Information Disclosure Statements and the cited references filed on the dates listed in the first paragraph on page 1 of this document are enclosed.

An Office Action for this application was mailed on August 25, 2004. However, Examiner Joseph A. Fischetti has not returned copies of the PTO-1449 forms indicating consideration of the references cited in the Information Disclosure Statements filed on the dates listed in the first paragraph on page 1 of this document listed.

Accordingly, **Applicant hereby requests confirmation of consideration of the previously filed Information Disclosure Statements.** Examiner Joseph A. Fischetti is requested to initial the references listed on the PTO-1449 forms to show that they were appropriately considered during examination of this Application. The Commissioner is hereby authorized to credit any overpayment, or to charge any additional fee required by this paper, to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for Applicant



Kevin J. Meek  
Reg. No. 33,738

2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214) 953-6680

Enclosures: Copies of Information Disclosure Statements filed on dates listed in the first paragraph on page 1 of this document with PTO-1449 forms and copies of the cited references;  
Copies of acknowledgment post cards showing  
date stamps of dates listed in the first paragraph on page 1 of this document



The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

- |   |  |
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| <input type="checkbox"/> Application - Spec., Claims, Abstract (___ Page(s))                    | <input type="checkbox"/> Verified Statement (___ Page(s))                          |
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| <input checked="" type="checkbox"/> IDS and PTO-1449 ( <u>3</u> Page(s))                        |  |
| <input checked="" type="checkbox"/> w/Ref   |  |
| <input type="checkbox"/> Non-Publication Request (___ Page(s))                                  |  |

Inventor(s): **Philip S. Siegel** Serial No.: **09/817,353**

Title: ***S/M for Single-Action Returns of Remotely Purchased Merchandise***

Client/Applicant: **Newgistics, Inc.** BB File No.: **067439.0111**

Mailed: **6/25/04**

Due:

Atty./Secy.: **BAD/adl**

Certificate of Mailing

☒ Express Mail Rpt. No.: **EV352389788US**

☐ First Class Mail

☐ Hand Delivered

Receipt Date & Serial No.:





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Philip S. Siegel  
Application Serial No.: 09/817,353  
Date Filed: March 26, 2001  
Group Art Unit: 3627  
Examiner: Joseph A. Fischetti  
Title: *System and Method for Single-Action Returns of  
Remotely Purchased Merchandise*

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this Information  
Disclosure Statement is being deposited with  
the United States Postal Service as Express  
Mail No. EY352389788US addressed to:  
Mail Stop Amendment, Commissioner for  
Patents, P. O. Box 1450, Alexandria, VA  
22313-1450, on the date shown below.

*Jay Howard*

Jay Howard

6/25/04

Date

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. Copies of the references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97.(g) and (h), no representation is made that these references are material to the patentability of the present application.

Applicant believes that no fee is due at this time, however, the Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-2148 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicant

A handwritten signature in black ink, appearing to read "B. A. Dietzel", with a stylized flourish at the end.

Brian A. Dietzel  
Reg. No. 44,656

Date: 06.23.2004

Correspondence Address:

Customer No. **31625**

512.322.2674  
512.322.8307 (Fax)

PTO-1449

**Information Disclosure Citation  
in an Application**

Application No.

Applicant(s)

09/817,353

Philip S. Siegel

Docket Number

Group Art Unit

Filing Date

067439.0111

3627

March 26, 2001

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2002/0010634	1/24/02	Roman et al.	705	26	12/8/00
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						
	M						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	N							
	O							
	P							
	Q							

**NON-PATENT DOCUMENTS**

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	R		
	S		
	T		
	U		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

Application - Spec., Claims, Abstract (___ Page(s))		<input type="checkbox"/> Verified Statement (___ Page(s))
<input type="checkbox"/> CIP	<input type="checkbox"/> Conv. Prov.	<input type="checkbox"/> ___ Mo. Extension of Time (___ Page(s))
<input type="checkbox"/> Cont.	<input type="checkbox"/> Provisional	<input type="checkbox"/> Amendment/Response (___ Page(s))
<input type="checkbox"/> Informal/Formal Drawing(s) (___ Page(s))		<input type="checkbox"/> Issue Fee Transmittal (___ Page(s))
Declaration(s)/Power(s) of Attorney (___ Page(s))		<input type="checkbox"/> Check No. ___ Amt.: \$
<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted		<input type="checkbox"/> Check No. ___ Amt.: \$
<input type="checkbox"/> Assignment w/ or w/o Cover Sheet (___ Page(s))		<input type="checkbox"/> Other:
<input checked="" type="checkbox"/> IDS and PTO-1449 ( <u>3</u> Page(s))		
<input checked="" type="checkbox"/> w/Ref		
<input type="checkbox"/> Non-Publication Request (___ Page(s))		
Inventor(s): <b>Philip S. Siegel</b>		Serial No.: <b>09/817,353</b>
Title: <b>S/M for Single-Action Returns of Remotely Purchased Merchandise</b>		
Client/Applicant: <b>Newgistics, Inc.</b>		BB File No.: <b>067439.0111</b>
Mailed: <b>11/12/03</b>		Certificate of Mailing
Due: <b>ACL</b>		<input checked="" type="checkbox"/> Express Mail Rpt. No.: <b>EV352392654US</b>
Atty./Secy.: <b>ACF/adl</b>		<input type="checkbox"/> First Class Mail
		<input type="checkbox"/> Hand Delivered

ATTORNEY DOCKET  
067439.0111

PATENT  
09/817,353

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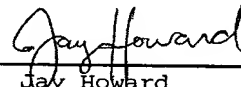


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Philip S. Siegel  
Serial No.: 09/817,353  
Date Filed: March 26, 2001  
Group Art Unit: 3627  
Examiner: Joseph A. Fischetti  
Title: SYSTEM AND METHOD FOR SINGLE-ACTION RETURNS OF REMOTELY PURCHASED MERCHANDISE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Jay Howard

11/12/03

Date

Dear Sir:

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EV352392654US



ATTORNEY DOCKET  
067439.0111


PATENT  
09/817,353

2

Applicant believes no fees are due, however, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-2148 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicant

A handwritten signature in cursive script, appearing to read 'Ann C. Livingston', followed by a horizontal line extending to the right.

Ann C. Livingston  
Reg. No. 32,479

Correspondence Address:  
Ann Livingston  
Baker Botts L.L.P.  
1500 San Jacinto Center  
98 San Jacinto Blvd.  
Austin, Texas 78701  
(512) 322-2634

Date: 11-11-03

PTO-1449

SEP 07 2004

Application No.

Applicant(s)

09/817,353

Philip S. Siegel

**Information Disclosure Citation  
in an Application**

Docket Number

Group Art Unit

Filing Date

067439.0111

3627

3/26/01

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	6616189	9/9/03	Raming	283	81	6/8/2001
	B	6536659	3/25/03	Hauser et al.	235	375	11/15/2000
	C	6547136	4/15/03	Sansone	235	385	11/27/2000
	D	2002/0095306	7/18/02	Smith et al.	705	1	9/28/2001
	E	2002/0032573	3/14/02	Williams, et al.	705	1	3/27/2001
	F	2002/0152093	10/17/02	Chalmers et al.	705	1	3/13/2002
	G	2002/0138356	9/26/02	Dutta et al.	705	26	3/26/2001
	H	2002/0032612	3/14/02	Williams, et al.	705	26	3/27/2001
	I	2003/0023496	1/30/03	De Mol Van Otterloo et al.	705	22	7/5/2002
	J	2001/0032147	10/18/01	Siegel	705	26	2/28/2001
	K	2002/0178076	11/28/02	Ross	705	26	5/24/2001
	L						
	M						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	N							
	O							
	P							
	Q							

**NON-PATENT DOCUMENTS**

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	R	UPS Autumn 2002 Forum, "The Far East is not so far," 12259 UPS Forum. ENG/SPEC 4 pages	10/17/02
	S	U.S. Patent Application Serial No. 10/659,465 entitled "Method and System Using Return Labels with Dynamically Generated Multiple Datapoint Coding", filed by Edward J. Stashluk Jr. et al. on 9/10/03.	9/10/03
	T		
	U		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.